# Particle scattering and vacuum instability by exponential steps

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Particle scattering and vacuum instability in a constant inhomogeneous electric field of particular peak configuration that consists of two (exponentially increasing and exponentially decreasing) independent parts are studied. It presents a new kind of external field where exact solutions of the Dirac and Klein-Gordon equations can be found. We obtain and analyze in- and out-solutions of the Dirac and Klein-Gordon equations in this configuration. By their help we calculate probabilities of particle scattering and characteristics of the vacuum instability. In particular, we consider in details three configurations: a smooth peak, a sharp peak, and a strongly asymmetric peak configuration. We find asymptotic expressions for total mean numbers of created particles and for vacuum-to-vacuum transition probability. We discuss a new regularization of the Klein step by the sharp peak and compare this regularization with another one given by the Sauter potential.

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## I. INTRODUCTION

Particle creation from a vacuum by strong electromagnetic and gravitational fields is a well-known quantum effect [1], which has a number of important applications in laser physics, heavy ion collisions, astrophysics, and condensed matter processes (see Refs. [2-4] for a review). Depending on the strong field structure different approaches have been proposed for nonperturbative calculating of the effect. In these approaches the strong fields are considered as external ones (external classical backgrounds). Initially, the effect of particle creation was studied for time-dependent external electric fields that are switched on and off at the initial and final time instants, respectively. We call such external fields t-electric potential steps. Initially, scattering, particle creation, and particle annihilation by *t*-electric potential steps have been considered in the framework of the relativistic quantum mechanics, see for example Refs. [5,6]. At present it is well understood that only an adequate quantum field theory (QFT) with a corresponding external background may consistently describe this effect and possible accompanying processes. In the framework of such a theory, particle creation is related to a violation with time of a vacuum stability. In quantum electrodynamics (QED), backgrounds that may violate the vacuum stability are electriclike electromagnetic fields. A general nonperturbative formulation of QED with t-electric potential steps was developed in Refs. [7–9]. The corresponding technique uses essentially

special sets of exact solutions of the Dirac equation with the corresponding external backgrounds. The cases when such solutions can be found explicitly (analytically) are called exactly solvable cases. At the current moment, all known exactly solvable cases for *t*-electric potential steps are studied in detail, see Ref. [10] for a review.

However, there exist many physically interesting situations where external backgrounds are formally presented by time independent fields (which is obviously some kind of idealization). For example, one can mention timeindependent nonuniform electric fields that are concentrated in restricted spatial areas. Such fields represent a kind of spatial or, as we call them, conditionally, x-electric potential steps for charged particles. The x-electric potential steps can also create particles from the vacuum; the Klein paradox is closely related to this process [11–13]. Approaches for treating quantum effects in the *t*-electric potential steps are not applicable to x-electric potential steps. Some heuristic calculations of particle creation by x-electric potential steps in the framework of the relativistic quantum mechanics with a qualitative discussion from the point of view of QFT were first presented by Nikishov in Refs. [6,14]. In the recent article [15], quantizing the Dirac and the Klein-Gordon (scalar) fields in the presence of x-electric potential steps, Gavrilov and Gitman presented a consistent nonperturbative formulation of QED with x-electric potential steps. Similar to t-electric potential step case, special sets of exact solutions of the Dirac equation with the corresponding external field are used to form a base of this formulation. By the help of this approach particle creation in the Sauter field  $E(x) = E \cosh^{-2}(x/L_s)$ and in the so-called L-constant electric field (a constant

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electric field between two capacitor plates) were studied in Refs. [15,16], respectively. These two cases are exactly solvable for *x*-electric potential steps. In the present article, we consider another new exactly solvable case of this kind, which is a constant electric field of particular peak configuration. The corresponding field is a combination of two exponential parts, one exponentially increasing and the other one exponentially decreasing. Different choice of these two parts allows one to imitate different realistic and physically interesting spatial configuration of electric fields. Besides of this, a very sharp peak can be considered as a field of a regularized Klein step. We compare this regularization with one given by the Sauter potential in Ref. [15].

The article is organized as follows. In Sec. II, a general form of the constant electric field of a peak configuration that consist of two (exponentially increasing and exponentially decreasing) independent parts is introduced. We obtain and analyze corresponding in- and out-solutions of the Dirac and Klein-Gordon equations. By their help we introduce initial and final sets of creation and annihilation operators of electrons and positrons and define initial and final vacua. In Sec. III we discuss scattering and reflection of particles outside of the Klein zone while possible processes in the Klein zone are studied in Sec. [11]. Characteristics of the vacuum instability in the Klein zone are calculated by the help of in- and out-solutions using results of a general theory [15]. Here a particular case of a small-gradient field is discussed as well. In Sec. V we study a strongly asymmetric peak configuration. In Sec. VI we consider a very sharp peak. Mathematical details of study in the Klein zone are placed in Appendix A. In Appendix B some necessary asymptotic expansions of the confluent hypergeometric function are given.

Throughout the article, the Greek indices span the Minkowski space-time,  $\mu = 0, 1, ..., D$ . We use the system of units where  $\hbar = c = 1$  in which the fine structure constant is  $\alpha = e^2/c\hbar = e^2$ .

# II. IN- AND OUT-SOLUTIONS IN EXPONENTIAL STEPS

## A. Dirac equation

We consider an external electromagnetic field, placed in (d = D + 1)-dimensional Minkowski space, parametrized by the coordinates  $X = (X^{\mu}, \mu = 0, 1, ..., D) = (t, r)$ ,  $X^0 = t$ ,  $r = (x, r_{\perp})$ ,  $r_{\perp} = (X^2, ..., X^D)$ . The potentials of an external electromagnetic field are chosen as  $A^{\mu}(X) = \delta_0^{\mu} A_{\mu}(x)$ ,

$$A^{\mu}(X) = (A^0 = A_0(x), \quad A^k = 0, \quad k = 1, ..., D),$$
 (2.1)

which corresponds to the zero magnetic field and the electric field of the form

$$\mathbf{E}(X) = \mathbf{E}(x) = (E_x(x), 0, ..., 0),$$
  

$$E_x(x) = -\partial_x A_0(x) = E(x).$$
(2.2)

The electric field (2.2) is directed along the *x*-axis, inhomogeneous and constant in time in general case. The backgrounds of this kind represent a kind of spatial *x*-electric potential steps for charged particles. The main properties common to any *x*-electric potential steps are

$$A_0(x) \xrightarrow{x \to \pm \infty} A_0(\pm \infty), \qquad E(x) \xrightarrow{|x| \to \infty} 0, \qquad (2.3)$$

where  $A_0(\pm \infty)$  are some constant quantities, and the derivative of the potential  $\partial_x A_0(x)$  does not change its sign for any  $x \in \mathbb{R}$ . For definiteness, we suppose that

$$\frac{\partial A_0(x)}{\partial x} \le 0 \Rightarrow \begin{cases} E(x) = -\partial_x A_0(x) \ge 0\\ A_0(-\infty) > A_0(+\infty) \end{cases}.$$
(2.4)

The basic Dirac particle is an electron, and the positron is its antiparticle. The electric charge of the electron q = -e, e > 0. The potential energy of the electron in this field is  $U(x) = -eA_0(x)$  (see Fig. 1) and the magnitude of the corresponding *x*-potential step is

$$U = U_{\rm R} - U_{\rm L} > 0, \quad U_{\rm R} = -eA_0(+\infty), \quad U_{\rm L} = -eA_0(-\infty).$$
(2.5)

One can distinguish two types of electric steps: noncritical and critical,

$$\mathbb{U} = \begin{cases} \mathbb{U} < \mathbb{U}_c = 2m, \text{ noncritical steps} \\ \mathbb{U} > \mathbb{U}_c, \quad \text{critical steps} \end{cases}.$$
 (2.6)

In the case of noncritical steps, the vacuum is stable, see Ref. [15]. We are interested in the critical steps, where there is electron-positron pair production from vacuum.



FIG. 1. Potential energy of electron U(x) in peak electric field. For this picture  $k_1 > k_2$  was chosen.

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System under consideration consists of a Dirac field  $\psi(X)$  interacting with the electric field of particular exponential configuration. This electric field is composed of independent parts, wherein for each one the Dirac equation is exactly solvable. The field in consideration grows exponentially from the minus infinity  $x = -\infty$ , reaches its maximal amplitude *E* at x = 0 and decreases exponentially to the infinity  $x = +\infty$ . Its maximum E > 0 occurs at a very sharp point, say at x = 0, such that the limit

$$\lim_{x \to -0} \frac{\partial E(x)}{\partial x} \neq \lim_{x \to +0} \frac{\partial E(x)}{\partial x}, \qquad (2.7)$$

is not defined. The latter property implies that a peak at x = 0 is present. We label the exponentially increasing interval by  $I = (-\infty, 0]$  and the exponentially decreasing interval by  $II = (0, +\infty)$ . The field and its corresponding *x*-electric potential step are

$$E(x) = E \begin{cases} e^{k_1 x}, & x \in \mathbf{I} \\ e^{-k_2 x}, & x \in \mathbf{II} \end{cases}$$
$$U(x) = eE \begin{cases} k_1^{-1}(e^{k_1 x} - 1), & x \in \mathbf{I} \\ k_2^{-1}(-e^{-k_2 x} + 1), & x \in \mathbf{II} \end{cases},$$
(2.8)

where E > 0, and  $k_1$ ,  $k_2 > 0$ ; see Fig. 2. The potential energies of electron at  $x = -\infty$  and  $x = +\infty$  for this particular configuration are

$$U_{\rm L} = -\frac{eE}{k_1}, \qquad U_{\rm R} = \frac{eE}{k_2}.$$
 (2.9)

It should be noted that, for example, the strongly asymmetric peak configuration, given by the potential

$$A_0^{\rm as}(x) = E \begin{cases} 0, & x \in \mathbf{I} \\ k_2^{-1}(e^{-k_2 x} - 1), & x \in \mathbf{II} \end{cases}, \quad (2.10)$$



FIG. 2. Strongly asymmetric peak field configuration.

can be considered as a particular case of the step, that is,  $k_1$  is sufficiently large for this case,  $k_1 \rightarrow \infty$ .

The Dirac equation for the system under consideration has the following form:

$$i\partial_0\psi(X) = \hat{H}\psi(X), \qquad \hat{H} = \gamma^0(-i\gamma^j\partial_j + m) + U(x),$$
  
 $j = 1, \dots D,$  (2.11)

where the Dirac field  $\psi(X)$  is a  $2^{[d/2]}$ -component spinor (where [d/2] is integer part of d/2) in d dimensions,  $\hat{H}$  is the one-particle Hamiltonian,  $\gamma^{\mu}$  are  $2^{[d/2]} \times 2^{[d/2]}$  gammamatrices in d dimensions (see, for example, Ref. [17]):

$$[\gamma^{\mu}, \gamma^{\nu}]_{+} = 2\eta^{\mu\nu}, \qquad \eta^{\mu\nu} = \text{diag}(\underbrace{1, -1, \dots, -1}_{d}),$$
$$\mu, \nu = 0, 1, \dots, D. \tag{2.12}$$

Due to the configuration of the field (2.8), the structure of Dirac spinor  $\psi(X)$  in directions  $X^0$  and  $X^2, ..., X^D$  is a simple plane wave, so we consider stationary solutions of the Dirac equation (2.11) having the following form

$$\begin{split} \psi_n(X) &= \exp\left[-ip_0t + i\mathbf{p}_{\perp}\mathbf{r}_{\perp}\right]\psi_n(x), \qquad n = (p_0, \mathbf{p}_{\perp}, \sigma), \\ \psi_n(x) &= \{\gamma^0[p_0 - U(x)] + i\gamma^1\partial_x - \gamma^{\perp}\mathbf{p}_{\perp} + m\}\phi_n(x), \\ \mathbf{p}_{\perp} &= (p^2, \dots, p^D), \qquad \gamma^{\perp} = (\gamma^2, \dots, \gamma^D), \end{split}$$
(2.13)

where  $\psi_n(x)$  and  $\phi_n(x)$  are spinors that depend on x alone. These spinors are stationary states with the given total energy  $p_0$  and transversal momentum  $\mathbf{p}_{\perp}$  (the index  $\perp$ stands for the spatial components perpendicular to the electric field). Spin variables are separated by substitution

$$\phi_n(x) = \phi_n^{(\chi)}(x) = \varphi_n(x)v_{\chi,\sigma}, \qquad (2.14)$$

where  $v_{\chi,\sigma}$  is the set of constant orthonormalized spinors with  $\chi = \pm 1$ ,  $\sigma = (\sigma_1, ..., \sigma_{[d/2]-1})$ ,  $\sigma_s = \pm 1$ , satisfying the following relations

$$\gamma^{0}\gamma^{1}v_{\chi,\sigma} = \chi v_{\chi,\sigma}, \qquad v_{\chi,\sigma}^{\dagger}v_{\chi',\sigma'} = \delta_{\chi,\chi'}\delta_{\sigma,\sigma'}.$$
(2.15)

The quantum numbers  $\chi$  and  $\sigma$  describe a spin polarization and provide parametrization of the solutions. In *d* dimensions there are exist only  $J_{(d)} = 2^{[d/2]-1}$  different spin states. This is a well-known property related to the specific structure of the projection operator in the brackets {...} in Eq. (2.13) that sets of solutions of the Dirac equation, which only differ by values of  $\chi$  are linearly dependent. That is why it is sufficient to work only with solutions corresponding to one of the values of  $\chi$ ; e.g., see Ref. [16] for details.

The scalar functions  $\varphi_n(x)$  have to obey the second order differential equation

$$(\hat{p}_{x}^{2} - i\chi \partial_{x} U(x) - [p_{0} - U(x)]^{2} + \pi_{\perp}^{2})\varphi_{n}(x) = 0,$$
  
$$\hat{p}_{x} = -i\partial_{x},$$
 (2.16)

where  $\pi_{\perp}^{2} = p_{\perp}^{2} + m^{2}$ .

# B. Solutions with special left and right asymptotics

In each interval we introduce new variables  $\eta_j$ , j = 1 for  $x \in I$ , j = 2 for  $x \in II$ :

$$\eta_1 = ih_1 e^{k_1 x}, \qquad \eta_2 = ih_2 e^{-k_2 x}, \qquad h_j = \frac{2eE}{k_j^2}, \quad (2.17)$$

and represent the scalar functions  $\varphi_n(x)$  as

$$\begin{split} \varphi_{n}^{j}(x) &= e^{-\eta_{j}/2} \eta_{j}^{\nu_{j}} \rho_{j}(x), \\ \nu_{1} &= i \frac{|p^{L}|}{k_{1}}, \quad |p^{L}| = \sqrt{[\pi_{0}(L)]^{2} - \pi_{\perp}^{2}}, \quad \pi_{0}(L) = p_{0} + \frac{eE}{k_{1}}, \\ \nu_{2} &= i \frac{|p^{R}|}{k_{2}}, \quad |p^{R}| = \sqrt{[\pi_{0}(R)]^{2} - \pi_{\perp}^{2}}, \quad \pi_{0}(R) = p_{0} - \frac{eE}{k_{2}}. \end{split}$$

$$(2.18)$$

Here  $\pi_0(L)$  and  $\pi_0(R)$  are the sum of its asymptotic kinetic and rest energies at  $x = -\infty$  and  $x = +\infty$ , respectively. We call the quantity  $\pi_{\perp}$  the transversal energy. The functions  $\rho_j(x)$  satisfy the confluent hypergeometric equation [18]

$$\begin{pmatrix} \eta_{j} \frac{d^{2}}{d\eta_{j}^{2}} + [c_{j} - \eta_{j}] \frac{d}{d\eta_{j}} - a_{j} \end{pmatrix} \rho_{j}(x) = 0, \\ c_{j} = 2\nu_{j} + 1, \qquad a_{1} = \frac{(1 - \chi)}{2} + \nu_{1} - \frac{i\pi_{0}(L)}{k_{1}}, \\ a_{2} = \frac{(1 - \chi)}{2} + \nu_{2} + \frac{i\pi_{0}(R)}{k_{2}}.$$

$$(2.19)$$

A fundamental set of solutions for the equation is composed by two linearly independent confluent hypergeometric functions:

$$\Phi(a_j, c_j; \eta_j)$$
 and  $\eta_j^{1-c_j} e^{\eta_j} \Phi(1-a_j, 2-c_j; -\eta_j)$ ,

where

$$\Phi(a,c;\eta) = 1 + \frac{a}{c}\frac{\eta}{1!} + \frac{a(a+1)}{c(c+1)}\frac{\eta^2}{2!} + \cdots$$
 (2.20)

The general solution of Eq. (2.16) in the intervals I and II can be expressed as the following linear superposition:

$$\begin{split} \varphi_{n}^{j}(x) &= A_{2}^{j} y_{1}^{j}(\eta_{j}) + A_{1}^{j} y_{2}^{j}(\eta_{j}), \\ y_{1}^{j} &= e^{-\eta_{j}/2} \eta_{j}^{\nu_{j}} \Phi(a_{j}, c_{j}; \eta_{j}), \\ y_{2}^{j} &= e^{\eta_{j}/2} \eta_{j}^{-\nu_{j}} \Phi(1 - a_{j}, 2 - c_{j}; -\eta_{j}) \\ &= e^{-\eta_{j}/2} \eta_{j}^{-\nu_{j}} \Phi(a_{j} - c_{j} + 1, 2 - c_{j}; \eta_{j}), \end{split}$$
(2.21)

with constants  $A_1^j$  and  $A_2^j$  being fixed by boundary conditions.

The complete set of solutions for Klein-Gordon equation can be formally obtained by setting  $\chi$  equal to zero in all formulas,

$$\phi_n^{\mathbf{j}}(x) = \exp\left[-ip_0 t + i\mathbf{p}_{\perp}\mathbf{r}_{\perp}\right]\varphi_n^{\mathbf{j}}(x). \qquad (2.22)$$

In this case  $n = \mathbf{p}$ .

The Wronskian of the  $y_{1,2}^{J}(\eta_{j})$  functions is

$$W = y_1^{j} \frac{d}{d\eta_j} y_2^{j} - y_2^{j} \frac{d}{d\eta_j} y_1^{j} = \frac{1 - c_j}{\eta_j}.$$
 (2.23)

In what follows, we use solutions of the Dirac equation denoted as  $_{\zeta}\psi_n(X)$  and  $^{\zeta}\psi_n(X)$ ,  $\zeta = \pm$ , with special left and right asymptotics at  $x \to -\infty$  and  $x \to +\infty$ , respectively, where there is no electric field. Nontrivial solutions  $^{\zeta}\psi_n(X)$  exist only for quantum numbers *n* that obey the relation

$$[\pi_0(\mathbf{R})]^2 > \pi_{\perp}^2 \Leftrightarrow \begin{cases} \pi_0(\mathbf{R}) > \pi_{\perp} \\ \pi_0(\mathbf{R}) < -\pi_{\perp} \end{cases}, \qquad (2.24)$$

whereas nontrivial solutions  $_{\zeta} \psi_n(X)$  exist only for quantum numbers *n* that obey the relation

$$[\pi_0(\mathbf{L})]^2 > \pi_{\perp}^2 \Leftrightarrow \begin{cases} \pi_0(\mathbf{L}) > \pi_{\perp} \\ \pi_0(\mathbf{L}) < -\pi_{\perp} \end{cases}.$$
(2.25)

Such solutions have the form (2.13) with the functions  $\varphi_n(x)$  denoted as  $\zeta \varphi_n(x)$  or  $\zeta \varphi_n(x)$ , respectively. The latter functions satisfy Eq. (2.16) and the following asymptotic conditions:

$$\zeta \varphi_n(x) = {}_{\zeta} \mathcal{N} e^{i\zeta |p^{\mathsf{L}}|x} \quad \text{if } x \to -\infty,$$
  
$$\zeta \varphi_n(x) = {}^{\zeta} \mathcal{N} e^{i\zeta |p^{\mathsf{R}}|x} \quad \text{if } x \to +\infty, \qquad \zeta = \pm.$$
(2.26)

The solutions  $_{\zeta}\psi_n(X)$  and  $^{\zeta}\psi_n(X)$  asymptotically describe particles with given momenta  $\zeta|p^{L}|$  and  $\zeta|p^{R}|$ , correspondingly, along the axis *x*.

We consider our theory in a large spacetime box that has a spatial volume  $V_{\perp} = \prod_{j=2}^{D} K_j$  and the time dimension *T*, where all  $K_j$  and *T* are macroscopically large. The integration over the transverse coordinates is fulfilled from  $-K_j/2$  to  $+K_j/2$ , and over the time *t* from -T/2 to +T/2. The limits  $K_j \rightarrow \infty$  and  $T \rightarrow \infty$  are assumed in final expressions. In this case the electric current of the Dirac field through the hypersurface x = const,

$$(\psi,\psi')_x = \int \psi^{\dagger}(X)\gamma^0\gamma^1\psi'(X)dtd\mathbf{r}_{\perp},$$
 (2.27)

is *x*-independent. Using Eq. (2.27) we subject the solutions  $_{\zeta}\psi_n(X)$  and  $^{\zeta}\psi_n(X)$  to the orthonormality conditions and calculate the normalization constants  $_{\zeta}\mathcal{N}$  and  $^{\zeta}\mathcal{N}$  in (2.26) as (see Ref. [15] for details)

$$\begin{split} {}_{\zeta}\mathcal{N} &= {}_{\zeta}CY, \qquad {}^{\zeta}\mathcal{N} = {}^{\zeta}CY, \qquad Y = (V_{\perp}T)^{-1/2}, \\ {}_{\zeta}C &= [2|p^{\rm L}||\pi_0({\rm L}) - \chi\zeta|p^{\rm L}||]^{-1/2}, \\ {}^{\zeta}C &= [2|p^{\rm R}||\pi_0({\rm R}) - \chi\zeta|p^{\rm R}||]^{-1/2}. \end{split}$$
(2.28)

By virtue of these properties, electron (positron) states can be selected as follows:

The solutions  $\zeta \psi_n(X)$  and  $_{\zeta} \psi_n(X)$  are connected by the decomposition

$$\eta_{\rm L}^{\zeta} \psi_n(X) = {}_{+} \psi_n(X) g({}_{+}|{}^{\zeta}) - {}_{-} \psi_n(X) g({}_{-}|{}^{\zeta}),$$
  
$$\eta_{\rm R} \zeta \psi_n(X) = {}^{+} \psi_n(X) g({}^{+}|_{\zeta}) - {}^{-} \psi_n(X) g({}^{-}|_{\zeta}), \qquad (2.30)$$

if the conditions (2.24) and (2.25) are simultaneously fulfilled, where  $\eta_{L/R} = \text{sgn}\pi_0(L/R)$ , and the coefficients *g* are defined by the corresponding inner product,

$$g(\zeta|^{\zeta'}) = (\zeta \psi_n, \zeta' \psi_n)_{\chi}, \qquad g(\zeta|^{\zeta'})^* = g(\zeta'|_{\zeta}). \tag{2.31}$$

These coefficients satisfy the following unitary relations

$$\begin{split} |g(_{-}|^{+})|^{2} &= |g(_{+}|^{-})|^{2}, \qquad |g(_{+}|^{+})|^{2} = |g(_{-}|^{-})|^{2}, \\ \frac{g(_{+}|^{-})}{g(_{-}|^{-})} &= \frac{g(^{+}|_{-})}{g(^{+}|_{+})}, \qquad |g(_{+}|^{-})|^{2} - |g(_{+}|^{+})|^{2} = -\eta_{\mathrm{L}}\eta_{\mathrm{R}}. \end{split}$$

$$(2.32)$$

Taking into account the complete set of exact solutions (2.21) and mutual decompositions (2.30), for example, one can present the functions  $\varphi_n(x)$  and  $\varphi_n(x)$  in the form

$${}^{+}\varphi_{n}(x) = \begin{cases} \eta_{\mathrm{L}}[_{+}\varphi_{n}(x)g(_{+}|^{+}) - _{-}\varphi_{n}(x)g(_{-}|^{+})], & x \in \mathrm{I} \\ {}^{+}\mathcal{N}\exp\left(i\pi\nu_{2}/2\right)y_{2}^{2}, & x \in \mathrm{II} \end{cases},$$

$$(2.33)$$

$$\varphi_n(x) = \begin{cases} -\mathcal{N} \exp(i\pi\nu_1/2)y_2^1, & x \in \mathbf{I} \\ \eta_{\mathbf{R}}[+\varphi_n(x)g(+) - -\varphi_n(x)g(-)], & x \in \mathbf{II} \end{cases},$$
(2.34)

for the whole axis x.

## C. In- and out-sets

According to the general theory, in the case of *x*-electric potential steps, the manifold of all the quantum numbers *n* denoted by  $\Omega$  can be divided into five ranges of quantum numbers  $\Omega_i$ , i = 1, ..., 5, where the corresponding solutions of the Dirac equation have similar forms, so that  $\Omega = \Omega_1 \cup \cdots \cup \Omega_5$ ; see Fig. 1. Note that the range  $\Omega_3$  exists if  $2\pi_{\perp} < \mathbb{U}$ . We denote the quantum numbers in corresponding zone  $\Omega_i$  by  $n_i$ . The conditions (2.24) and (2.25) are simultaneously fulfilled for  $\Omega_i$ , i = 1, 3, 5, as follows

$$\begin{aligned} \pi_0(\mathcal{L}) &> \pi_0(\mathcal{R}) > \pi_{\perp} & \text{if } n \in \Omega_1, \\ \pi_0(\mathcal{R}) &< \pi_0(\mathcal{L}) < -\pi_{\perp} & \text{if } n \in \Omega_5, \\ \pi_0(\mathcal{L}) &> \pi_{\perp}, & \pi_0(\mathcal{R}) < -\pi_{\perp} & \text{if } n \in \Omega_3. \end{aligned}$$
(2.35)

For the detailed description of the ranges  $\Omega_i$  and their properties see Ref. [15].

The exact expressions for *g*'s can be obtained from Eqs. (2.33) and (2.34) as follows. The functions  $\varphi_n(x)$  and  $\varphi_n(x)$  given by Eqs. (2.33) and (2.34) and their derivatives satisfy the following gluing conditions:

$${}^{+}_{-}\varphi_{n}(x)|_{x=-0} = {}^{+}_{-}\varphi_{n}(x)|_{x=+0},$$
  

$$\partial_{x-}^{+}\varphi_{n}(x)|_{x=-0} = \partial_{x-}^{+}\varphi_{n}(x)|_{x=+0}.$$
(2.36)

Using Eq. (2.36) and the Wronskian (2.23), one can find each coefficient  $g(\zeta | \zeta')$  and  $g(\zeta | \zeta')$  in Eqs. (2.33) and (2.34). For example, applying these conditions to the set (2.33), one can find the coefficient  $g(\lfloor + )$ :

$$g(_{-}|^{+}) = C\Delta, \qquad C = \frac{\eta_{\rm L}}{2} \sqrt{\frac{|\pi_0({\rm L}) + \chi|p^{\rm L}||}{|p^{\rm L}||p^{\rm R}||\pi_0({\rm R}) - \chi|p^{\rm R}||}} \\ \times \exp\left[\frac{i\pi}{2}(\nu_2 - \nu_1)\right], \\ \Delta = \left[k_1 h_1 y_2^2 \frac{d}{d\eta_1} y_1^1 + k_2 h_2 y_1^1 \frac{d}{d\eta_2} y_2^2\right]\Big|_{x=0}. \tag{2.37}$$

The same can be done to Eq. (2.34) to obtain

$$g(^{+}|_{-}) = C'\Delta', \qquad C' = \frac{-\eta_{\rm R}}{2} \sqrt{\frac{|\pi_0({\rm R}) - \chi|p^{\rm R}||}{|p^{\rm R}||p^{\rm L}||\pi_0({\rm L}) + \chi|p^{\rm L}||}} \\ \times \exp\left[\frac{i\pi}{2} (\nu_1 - \nu_2)\right], \\ \Delta' = \left[k_1 h_1 y_1^2 \frac{d}{d\eta_1} y_2^1 + k_2 h_2 y_2^1 \frac{d}{d\eta_2} y_1^2\right]\Big|_{x=0}.$$
(2.38)

One can easily verify that the symmetry under a simultaneous change  $k_1 \cong k_2$  and  $\pi_0(L) \cong -\pi_0(R)$  holds,

$$g(^{+}|_{-}) \leftrightarrows - \eta_{\rm L} \eta_{\rm R} g(_{-}|^{+}).$$
 (2.39)

A formal transition to the Klein-Gordon case can be done by setting  $\chi = 0$  and  $\eta_{\rm L} = \eta_{\rm R} = 1$  in Eqs. (2.37) and (2.38). In this case, normalization factors  $\zeta C$  and  $\zeta C$  are

$$_{\zeta}C = |2p^{\mathcal{L}}|^{-1/2}, \qquad {}^{\zeta}C = |2p^{\mathcal{R}}|^{-1/2}.$$
 (2.40)

The coefficient g(|+) for scalar particles is

$$g(_{-}|^{+}) = C_{\rm sc}\Delta|_{\chi=0}, \quad C_{\rm sc} = \sqrt{\frac{1}{4|p^{\rm L}||p^{\rm R}|}} \exp\left[\frac{i\pi}{2}(\nu_{2}-\nu_{1})\right]$$
(2.41)

with  $\Delta$  given by Eq. (2.37). The symmetry under the simultaneous change  $k_1 \rightleftharpoons k_2$  and  $\pi_0(L) \leftrightarrows - \pi_0(R)$  holds as

$$g(^{+}|_{-}) \leftrightarrows g(_{-}|^{+}).$$
 (2.42)

As follows from Eqs. (2.37), (2.38), and (2.41), if either  $|p^{R}|$  or  $|p^{L}|$  tends to zero, one of the following limits holds true:

$$|g(_{-}|^{+})|^{-2} \sim |p^{\mathbf{R}}| \to 0, \quad |g(^{+}|_{-})|^{-2} \sim |p^{\mathbf{L}}| \to 0, \quad \forall \, \lambda \neq 0.$$
(2.43)

These properties are essential for the justification of in- and out-particle interpretation in the general construction [15].

However, it should be noted that quantum field theory deals with physical quantities that are presented by volume integrals on *t*-constant hyperplane. The time-independent inner product for any pair of solutions of the Dirac equation,  $\psi_n(X)$  and  $\psi'_{n'}(X)$ , is defined on the t = const hyperplane as follows:

$$(\psi_n, \psi'_{n'}) = \int_{V_\perp} d\mathbf{r}_\perp \int_{-K^{(L)}}^{K^{(R)}} \psi_n^{\dagger}(X) \psi'_{n'}(X) dx, \qquad (2.44)$$

where the improper integral over x in the right-hand side of Eq. (2.44) is reduced to its special principal value to provide a certain additional property important for us and the limits  $K^{(L/R)} \rightarrow \infty$  are assumed in final expressions. As a result, we can see that all the wave functions having different quantum numbers *n* are orthogonal with respect of the inner product (2.44). We can find the linear independent pairs of  $\psi_n(X)$  and  $\psi'_{n'}(X)$  for each *n* and identify initial and final states on the *t* = const hyperplane as follows (see Ref. [15] for details):

# in-solutions: $_{+}\psi_{n_{1}}, \overline{}\psi_{n_{1}}; _{-}\psi_{n_{5}}, ^{+}\psi_{n_{5}}; _{-}\psi_{n_{3}}, \overline{}\psi_{n_{3}},$ out-solutions: $_{-}\psi_{n_{1}}, ^{+}\psi_{n_{1}}; _{+}\psi_{n_{5}}, \overline{}\psi_{n_{5}}; _{+}\psi_{n_{3}}, ^{+}\psi_{n_{3}}.$ (2.45)

In the ranges  $\Omega_2 (-\pi_{\perp} < \pi_0(\mathbf{R}) < \pi_{\perp}$  and  $\pi_0(\mathbf{L}) > \pi_{\perp}$ ) any solution has zero right asymptotic, which means that we deal with electron standing waves  $\psi_{n_2}(X)$ . It means that we deal with a total reflection. Similarly, we can treat positron standing waves  $\psi_{n_4}(X)$  in the range  $\Omega_4$  $(-\pi_{\perp} < \pi_0(\mathbf{L}) < \pi_{\perp}$  and  $\pi_0(\mathbf{R}) < -\pi_{\perp}$ ) and see a total reflection of positrons. It has to be noted that the complete set of in- and out-solution must include solution  $\psi_{n_2}(X)$ and  $\psi_{n_4}(X)$ .

Using the identification (2.45) we decompose the Heisenberg field operator  $\hat{\Psi}(X)$  in two sets of solutions of the Dirac Eq. (2.11) complete on the t = const hyperplane. Operator-valued coefficients in such decompositions are creation and annihilation operators of electrons and positrons which do not depend on coordinates. Following this way we complete initial and final sets of creation and annihilation operators as

in-set: 
$${}_{+}a_{n_{1}}(in), {}^{-}a_{n_{1}}(in); {}_{-}b_{n_{5}}(in), {}^{+}b_{n_{5}}(in);$$
  
 ${}_{-}b_{n_{3}}(in), {}^{-}a_{n_{3}}(in),$   
out-set:  ${}_{-}a_{n_{1}}(out), {}^{+}a_{n_{1}}(out); {}_{+}b_{n_{5}}(out), {}^{-}b_{n_{5}}(out);$   
 ${}_{+}b_{n_{2}}(out), {}^{+}a_{n_{3}}(out).$  (2.46)

We interpret all *a* and *b* as annihilation and all  $a^{\dagger}$  and  $b^{\dagger}$  as creation operators. All a and  $a^{\dagger}$  are interpreted as describing electrons and all b and  $b^{\dagger}$  as describing positrons. All the operators labeled by the argument in are interpreted as in-operators, whereas all the operators labeled by the argument out as out-operators. This identification is confirmed by a detailed mathematical and physical analysis of solutions of the Dirac equation with subsequent QFT analysis of correctness of such an identification in Ref. [15]. We define two vacuum vectors  $|0, in\rangle$  and  $|0, out\rangle$ , one of which is the zero-vector for all in-annihilation operators and the other is zero-vector for all out-annihilation operators. Besides, both vacua are zero-vectors for the annihilation operators  $a_{n_2}$  and  $b_{n_4}$ . We know that in the ranges  $\Omega_i$ , i = 1, 2, 4, 5 the partial vacua,  $|0, in\rangle^{(i)}$  and  $|0, out\rangle^{(i)}$ , are stable. The vacuum-to-vacuum transition amplitude  $c_v$  coincides with the vacuum-to-vacuum transition amplitude  $c_v^{(3)}$  in the Klein zone  $\Omega_3$ ,

$$c_v = \langle 0, \text{out} | 0, \text{in} \rangle = c_v^{(3)} = {}^{(3)} \langle 0, \text{out} | 0, \text{in} \rangle^{(3)}.$$
 (2.47)

# III. SCATTERING AND REFLECTION OF PARTICLES OUTSIDE OF THE KLEIN ZONE

To extract results of the one-particle scattering theory, all the constituent quantities, such as reflection and transmission coefficients etc., have to be represented with the help of the mutual decomposition coefficients g.

As an example, in the range  $\Omega_1$ , one can calculate absolute  $\tilde{R}$  and relative *R* amplitudes of an electron reflection, and absolute  $\tilde{T}$  and relative *T* amplitudes of an electron transmission, which can be presented as the following matrix elements

$$\begin{aligned} R_{+,n} &= \tilde{R}_{+,n} c_v^{-1} & \tilde{R}_{+,n} &= \langle 0, \text{out}|_{-a_n} (\text{out})_{+} a_n^{\dagger}(\text{in})|0, \text{in} \rangle, \\ T_{+,n} &= \tilde{T}_{+,n} c_v^{-1} & \tilde{T}_{+,n} &= \langle 0, \text{out}|^{+} a_n (\text{out})_{+} a_n^{\dagger}(\text{in})|0, \text{in} \rangle, \\ R_{-,n} &= \tilde{R}_{-,n} c_v^{-1} & \tilde{R}_{-,n} &= \langle 0, \text{out}|^{+} a_n (\text{out})^{-} a_n^{\dagger}(\text{in})|0, \text{in} \rangle, \\ T_{-,n} &= \tilde{T}_{-,n} c_v^{-1} & \tilde{T}_{-,n} &= \langle 0, \text{out}|_{-a_n} (\text{out})^{-} a_n^{\dagger}(\text{in})|0, \text{in} \rangle, \end{aligned}$$
(3.1)

It follows from the Eq. (3.1) that the relative reflection  $|R_{\zeta,n}|^2$  and transition  $|T_{\zeta,n}|^2$  probabilities are

$$|T_{\zeta,n}|^2 = 1 - |R_{\zeta,n}|^2, \quad |R_{\zeta,n}|^2 = [1 + |g(-|^+)|^{-2}]^{-1}, \quad \zeta = \pm.$$
  
(3.2)

Similar expressions can be derived for positron amplitudes in the range  $\Omega_5$ . In particular, relation (3.2) holds true literally for the positrons in the range  $\Omega_5$ . It is clear that  $|R_{\zeta,n}|^2 \leq 1$ . This result may be interpreted as QFT justification of the rules of time-independent potential scattering theory in the ranges  $\Omega_1$  and  $\Omega_5$ .

Amplitudes of Klein-Gordon particle reflection and transmission in the ranges  $\Omega_i$ , i = 1, 2, 4, 5 have the same form as in the Dirac particle case with coefficients g given by the corresponding inner product. Substituting the corresponding coefficients g into relations (3.2), one can find explicitly reflection and transmission probabilities in the field under consideration.

It is clear that  $|g(_{-}|^{+})|^{-2}$  and then  $|R_{\zeta,n}|^2$  and  $|T_{\zeta,n}|^2$  are functions of modulus squared of transversal momentum  $\mathbf{p}_{\perp}^2$ . It follows from Eq. (2.39) and Eq. (2.42), respectively, that  $|R_{\zeta,n}|^2$  and  $|T_{\zeta,n}|^2$  are invariant under the simultaneous change  $k_1 \rightleftharpoons k_2$  and  $\pi_0(\mathbf{L}) \leftrightharpoons - \pi_0(\mathbf{R})$  for both fermions and bosons. Then if  $k_1 = k_2$ ,  $|R_{\zeta,n}|^2$  and  $|T_{\zeta,n}|^2$  appear to be an even function of  $p_0$ . The limits (2.43) imply that

- (i) |g(\_|+)|<sup>-2</sup> → 0 in the range Ω<sub>1</sub> if n tends to the boundary with the range Ω<sub>2</sub> (|p<sup>R</sup>| → 0);
- (ii)  $|g(_{-}|^{+})|^{-2} \to 0$  in the range  $\Omega_5$  if *n* tends to the boundary with the range  $\Omega_4$   $(|p^{L}| \to 0)$ .

Thus, in these two cases the relative probabilities of reflection  $|R_{\zeta,n}|^2$  tend to unity; i.e., they are continuous functions of the quantum numbers *n* on the boundaries. It can be also seen that  $|R_{\zeta,n}|^2 \to 0$  as  $p_0 \to \pm \infty$ .

## IV. PROCESSES IN THE KLEIN ZONE

#### A. General

Here we consider possible processes in the Klein zone,  $\Omega_3$ , following the general consideration [15]. It is of special interest due to the vacuum instability. Due to specific choice of quantum numbers, processes for different modes *n* are independent. One sees that physical quantities are factorized with respect to quantum modes *n* and calculations in each mode can be performed separately. In particular, one can represent the introduced vacua,  $|0, in\rangle$ and  $|0, out\rangle$ , as tensor products of all the corresponding partial vacua in each mode *n*, respectively, and see that the probability for a vacuum to remain a vacuum can be expressed as product of the probabilities  $p_v^v$  for a partial vacuum to remain a vacuum in each mode *n*,

$$P_{v} = |c_{v}|^{2} = |c_{v}^{(3)}|^{2} = \prod_{n \in \Omega_{3}} p_{v}^{n},$$
(4.1)

where it is taken into account that in the ranges  $\Omega_i$ , i = 1, 2, 4, 5 the partial vacua are stable.

The differential mean numbers of electrons and positrons from electron-positron pairs created are equal:

$$\begin{split} N_n^a(\text{out}) &= \langle 0, \text{in}|^+ a_n^{\dagger}(\text{out})^+ a_n(\text{out})|0, \text{in} \rangle = |g(_-|^+)|^{-2}, \\ N_n^b(\text{out}) &= \langle 0, \text{in}|_+ b_n^{\dagger}(\text{out})_+ b_n(\text{out})|0, \text{in} \rangle = |g(_-|^-)|^{-2}, \\ N_n^{\text{cr}} &= N_n^b(\text{out}) = N_n^a(\text{out}), \qquad n \in \Omega_3, \end{split}$$
(4.2)

and they present the number of pairs created,  $N_n^{cr}$ . It follows from the Eqs. (2.37) and (2.41) that

$$N_n^{\rm cr} = |C\Delta|^{-2}$$
 for fermions,  
 $N_n^{\rm cr} = |C_{\rm sc}\Delta|_{\chi=0}|^{-2}$  for bosons. (4.3)

It is clear that  $N_n^{cr}$  is a function of modulus squared of transversal momentum  $\mathbf{p}_{\perp}^2$ . It follows from Eq. (2.39) and Eq. (2.42), respectively, that  $N_n^{cr}$  is invariant under the simultaneous change  $k_1 \simeq k_2$  and  $\pi_0(\mathbf{L}) \simeq -\pi_0(\mathbf{R})$  for both fermions and bosons. Then if  $k_1 = k_2$ ,  $N_n^{cr}$  appears to be an even function of  $p_0$ .

From properties (2.43), one finds that  $N_n^{cr} \to 0$  if *n* tends to the boundary with either the range  $\Omega_2$  ( $|p^{R}| \to 0$ ) or the range  $\Omega_4$  ( $|p^{L}| \to 0$ ),

$$N_n^{\rm cr} \sim |p^{\rm R}| \to 0, \qquad N_n^{\rm cr} \sim |p^{\rm L}| \to 0, \quad \forall \ \lambda \neq 0;$$
 (4.4)

in the latter ranges, the vacuum is stable.

Absolute values of the asymptotic momenta  $|p^{L}|$  and  $|p^{R}|$  are determined by the quantum numbers  $p_{0}$  and  $\mathbf{p}_{\perp}$ , see Eq. (2.18). This fact imposes certain relation between both quantities. In particular, one can see that  $d|p^{L}|/d|p^{R}| < 0$ , and at any given  $\mathbf{p}_{\perp}$  these quantities are restricted inside the range  $\Omega_{3}$ ,

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It implies that

$$0 \le ||p^{L}| - |p^{R}|| \le p^{\max}.$$
(4.6)

We have  $|p^{L}| = k_1 |\nu_1|$ ,  $|p^{R}| = k_2 |\nu_2|$ , and  $\mathbb{U} = eE(k_1^{-1} + k_2^{-1})$  for the case under consideration. Then for any  $p_0$  and  $\mathbf{p}_{\perp}$  the numbers  $N_n^{cr}$  are negligible if the Klein zone is tiny,

$$N_n^{\rm cr} \sim |p^{\rm R} p^{\rm L}| \to 0 \quad \text{if } p^{\rm max} \to 0.$$
 (4.7)

The total number of pairs  $N^{cr}$  created by the field under consideration can be calculated by summation over all possible quantum numbers in the Klein zone. Calculating this number in the fermionic case, one has to sum the corresponding differential mean numbers  $N_n^{cr}$  over the spin projections and over the transversal momenta  $\mathbf{p}_{\perp}$ and energy  $p_0$ . Since the  $N_n^{cr}$  do not depend on the spin polarization parameters  $\sigma$ , the sum over the spin projections produces only the factor  $J_{(d)} = 2^{[d/2]-1}$ . The sum over the momenta and the energy transforms into an integral in the following way:

$$N^{\rm cr} = \sum_{n \in \Omega_3} N_n^{\rm cr} = \sum_{\mathbf{p}_\perp, p_0 \in \Omega_3} \sum_{\sigma} N_n^{\rm cr} \to \frac{V_\perp T J_{(d)}}{(2\pi)^{d-1}} \int_{\Omega_3} dp_0 d\mathbf{p}_\perp N_n^{\rm cr},$$
(4.8)

where  $V_{\perp}$  is the spatial volume of the (d-1) dimensional hypersurface orthogonal to the electric field direction, *x*, and *T* is the time duration of the electric field. The total number of bosonic pairs created in all possible states follows from Eq. (4.8) at  $J_{(d)} = 1$ .

Both for fermions and bosons, the relative probabilities of an electron reflection, a pair creation, and the probability for a partial vacuum to remain a vacuum in a mode *n* can be expressed via differential mean numbers of created pairs  $N_n^{cr}$ ,

$$p_{n}(+|+) = |\langle 0, \text{out}|^{+}a_{n}(\text{out})^{-}a_{n}^{\dagger}(\text{in})|0, \text{in}\rangle|^{2}P_{v}^{-1} = (1 - \kappa N_{n}^{\text{cr}})^{-1},$$

$$p_{n}(+-|0) = |\langle 0, \text{out}|^{+}a_{n}(\text{out})_{+}b_{n}(\text{out})|0, \text{in}\rangle|^{2}P_{v}^{-1} = N_{n}^{\text{cr}}(1 - \kappa N_{n}^{\text{cr}})^{-1},$$

$$p_{v}^{n} = (1 - \kappa N_{n}^{\text{cr}})^{\kappa}, \qquad \kappa = \begin{cases} +1 & \text{for fermions} \\ -1 & \text{for bosons} \end{cases},$$
(4.9)

where  $P_v$  is defined by Eq. (4.1). The partial absolute probabilities of an electron reflection and a pair creation in a mode *n* are

$$P_n(+|+) = p_n(+|+)p_v^n, \quad P_n(+-|0) = p_n(+-|0)p_v^n,$$
(4.10)

respectively. The relative probabilities for a positron reflection  $p_n(-|-)$  and a pair annihilation  $p_n(0|-+)$  coincide with the probabilities  $p_n(+|+)$  and  $p_n(+-|0)$ , respectively.

We recall, as it follows from the general consideration [15], that if there exists an in-particle in the Klein zone, it will be subjected to the total reflection. For example, it can be illustrated by a result following from Eqs. (4.9) and (4.10), the probability of reflection of a Dirac particle with given quantum numbers n, under the condition that all other partial vacua remain vacua, is  $P_n(+|+) = 1$ . In the Dirac case, the presence of an in-particle with a given  $n \in \Omega_3$  disallows the pair creation from the vacuum in this state due to the Pauli principle. By the same reason, if an initial state is vacuum, there are only two possibilities in a cell of the space with given quantum number n, namely, this partial vacuum remains a vacuum, or with the probability  $P_n(+-|0)$  a pair with the quantum number n will be

created. It is in agreement with the probability conservation law  $p_v^n + P_n(+-|0) = 1$  that follows from Eqs. (4.9) and (4.10).

Of course, pairs of bosons can be created from the vacuum in any already-occupied states. For example, the conditional probability of a pair creation with a given quantum numbers n, under the condition that all other partial vacua with the quantum numbers  $m \neq n$  remain the vacua is the sum of probabilities of creation from vacuum for any number l of pairs

$$P_n(\text{pairs}|0) = p_v^n \sum_{l=1}^{\infty} p_n(+-|0)^l.$$
 (4.11)

In this case the probability conservation law has the form of a sum of probabilities of all possible events in a cell of the space of quantum numbers *n*:

$$P(\text{pairs}|0)_n + p_v^n = 1.$$
 (4.12)

### **B.** Small-gradient field

The inverse parameters  $k_1^{-1}$ ,  $k_2^{-1}$  represent scales of growth and decay of the electric field in the intervals I and II, respectively. In particular, we have a small-gradient

field at small values of both  $k_1, k_2 \rightarrow 0$ , obeying the conditions

$$\min(h_1, h_2) \gg \max(1, m^2/eE). \tag{4.13}$$

This case can be considered as a two-parameter regularization for an uniform electric field. That is a reason the Klein zone,  $\Omega_3$ , is of interest under the condition (4.13).

Let us analyze how the numbers  $N_n^{cr}$  depend on the parameters  $p_0$  and  $\pi_{\perp}$ . By virtue of the symmetry properties of  $N_n^{cr}$  discussed above, one can only consider  $p_0$  either positive or negative.

Let us, for example, consider the interval of negative energies  $p_0 \le 0$ . In this case, taking into account that both  $\pi_0(L)$  and  $\pi_0(R)$  satisfy the inequalities given by Eq. (2.35) in the range  $\Omega_3$ , we see that  $\pi_0(L)$  varies greatly while  $\pi_0(R)$  is negative and very large,

$$\pi_{\perp} \le \pi_0(\mathcal{L}) \le \frac{eE}{k_1}, \qquad \frac{eE}{k_2} \le -\pi_0(\mathcal{R}) \le \frac{eE}{k_2} + \frac{eE}{k_1} - \pi_{\perp}.$$
(4.14)

It can be seen from the asymptotic behavior of a confluent hypergeometric function that  $N_n^{cr}$  is exponentially small,  $N_n^{cr} \leq \exp\left[-2\sqrt{h_2|\pi_0(\mathbf{R})|/k_2}\right]$ , if  $|p^{\mathbf{R}}| \ll |\pi_0(\mathbf{R})|$  for large  $|\pi_0(\mathbf{R})|$ . In this case,  $\pi_{\perp} \sim eEk_2^{-1}$ . Then the range of fixed  $\pi_{\perp}$  is of interest, and in the following we assume that condition

$$\sqrt{\lambda} < K_{\perp}, \qquad \lambda = \frac{\pi_{\perp}^2}{eE}$$
 (4.15)

holds true, where any given number  $K_{\perp}$  satisfies the inequality

$$\min(h_1, h_2) \gg K_{\perp}^2 \gg \max(1, m^2/eE).$$
 (4.16)

Using the asymptotic expressions of the confluent hypergeometric functions we find that the differential mean numbers of created pairs  $N_n^{cr}$ , given by Eq. (4.3), can be approximated by the forms (A6); see details in Appendix A. These forms are exponentially small if  $\pi_0(L) \sim \pi_{\perp}$ . Then substantial value of  $N_n^{cr}$  are formed in the range

$$h_1 \ge 2\pi_0(\mathbf{L})/k_1 > K \gg K_\perp,$$
 (4.17)

where *K* is any given number and  $K_{\perp}$  satisfies the inequalities (4.15) and (4.16). In this range we approximate the distributions (A6) by the formula

$$N_n^{\rm cr} \approx \exp\left\{-\frac{2\pi}{k_1}[\pi_0(\mathbf{L}) - |p^{\mathbf{L}}|]\right\}$$
(4.18)

both for bosons and fermions.

Considering positive  $p_0 > 0$ , we find that  $N_n^{cr}$  can be approximated by forms (A8); see details in Appendix A. In this case, the substantial value of  $N_n^{cr}$  are formed in the range

$$eE/k_2 \ge |\pi_0(\mathbf{R})|/k_2 > K$$
 (4.19)

and has a form

$$N_n^{\rm cr} \approx \exp\left\{-\frac{2\pi}{k_2}[|\pi_0(\mathbf{R})| - |p^{\mathbf{R}}|]\right\}.$$
 (4.20)

Consequently, the quantity  $N_n^{\text{cr}}$  is almost constant over the wide range of energies  $p_0$  for any given  $\lambda$  satisfying Eq. (4.15). When  $h_1, h_2 \rightarrow \infty$ , one obtains the result in a constant uniform electric field [6,14].

The analysis presented above reveals that the dominant contributions for particle creation by a slowly varying field occurs in the ranges of large kinetic energies, whose differential quantities have the asymptotic forms (4.18) for  $p_0 < 0$  and (4.20) for  $p_0 > 0$ . Therefore, one may represent the total number (4.8) as

$$N^{\rm cr} = V_{\perp} T n^{\rm cr}, \qquad n^{\rm cr} = \frac{J_{(d)}}{(2\pi)^{d-1}} \int_{\sqrt{\lambda} < K_{\perp}} d\mathbf{p}_{\perp} I_{\mathbf{p}_{\perp}}, I_{\mathbf{p}_{\perp}} = I_{\mathbf{p}_{\perp}}^{(1)} + I_{\mathbf{p}_{\perp}}^{(2)},$$

$$I_{\mathbf{p}_{\perp}}^{(1)} = \int_{-eE/k_{1}+\pi_{\perp}}^{0} dp_{0} N_{n}^{\rm cr} \approx \int_{Kk_{1}}^{eE/k_{1}} d\pi_{0}(\mathbf{L}) \exp\left\{-\frac{2\pi}{k_{1}}[\pi_{0}(\mathbf{L}) - |p^{\mathbf{L}}|]\right\},$$

$$I_{\mathbf{p}_{\perp}}^{(2)} = \int_{0}^{eE/k_{2}-\pi_{\perp}} dp_{0} N_{n}^{\rm cr} \approx \int_{Kk_{2}}^{eE/k_{2}} d|\pi_{0}(\mathbf{R})| \exp\left\{-\frac{2\pi}{k_{2}}[|\pi_{0}(\mathbf{R})| - |p^{\mathbf{R}}|]\right\}.$$
(4.21)

Here  $n^{cr}$  presents the total number density of pairs created per unit time and unit surface orthogonal to the electric field direction.

As it is shown in Appendix A, the leading term reads

$$n^{\rm cr} = r^{\rm cr} \left(\frac{1}{k_1} + \frac{1}{k_2}\right) G\left(\frac{d}{2}, \pi \frac{m^2}{eE}\right), \qquad r^{\rm cr} = \frac{J_{(d)}(eE)^{d/2}}{(2\pi)^{d-1}} \exp\left\{-\pi \frac{m^2}{eE}\right\},\tag{4.22}$$

where function *G* is given by Eq. (A11). The density  $r^{cr}$  is known in the theory of constant uniform electric field as the pair-production rate (see the *d* dimensional case in Refs. [16,19]). The density given by Eq. (4.22) coincides with the number density of pairs created per unit space volume,  $N^{cr}/V_{(d-1)}$ , due to the uniform peak electric field given by a time-dependent potential  $A_x(t)$ ; see Ref. [20].

We see that the dominant contributions to the number density  $n^{cr}$ , given by Eq. (4.22), is proportional to the total energy of a pair created and then the magnitude of the potential step,  $\pi_0(L) + |\pi_0(R)| = \mathbb{U}$ . This magnitude is equal to a work done on a charged particle by the electric field under consideration. The same behavior we see for the number density  $n^{cr}$  of pairs created due to the smallgradient potential steps of the other known exactly solvable cases: the Sauter field [15] and the *L*-constant electric field [16] with the step magnitudes  $\mathbb{U}_S = 2eEL_S$  and  $\mathbb{U}_L = eEL$ , respectively. In these cases we have

$$n^{\rm cr} = L_{\rm S} \delta r^{\rm cr}$$
 for Sauter field,  
 $n^{\rm cr} = L r^{\rm cr}$  for *L*-constant field, (4.23)

where *L* is the length of the applied constant field,  $\delta = \sqrt{\pi} \Psi(\frac{1}{2}, \frac{2-d}{2}; \pi \frac{m^2}{eE})$ , and  $\Psi(a, b; x)$  is the confluent hypergeometric function [18]. All three cases can be considered as regularizations for an uniform electric field. This fact allows one to compare pair creation effects in such fields. Thus, for a given magnitude of the electric field *E* one can compare, for example, the pair creation effects in fields with equal step magnitude, or one can determine such step magnitudes for which particle creation effects are the same. In the latter case, equating the densities  $n^{cr}$  for the Sauter field and for the peak field to the density  $n^{cr}$  for the *L*-constant field, we find an effective length of the fields in both cases,

$$L_{\rm eff} = L_{\rm S}\delta$$
 for Sauter field,  
 $L_{\rm eff} = (k_1^{-1} + k_2^{-1})G\left(\frac{d}{2}, \pi \frac{m^2}{eE}\right)$  for peak field. (4.24)

By the definition  $L_{\text{eff}} = L$  for the *L*-constant field. One can say that the Sauter and the peak electric fields with the same  $L_{\text{eff}}$  are equivalent to the *L*-constant field in pair production.

Using the above considerations and Eq. (4.9) we perform the summation (integration) in Eq. (4.1) and obtain the vacuum-to-vacuum probability,

$$P_{v} = \exp\left(-\mu N^{cr}\right), \qquad \mu = \sum_{l=0}^{\infty} \frac{\kappa^{l} \epsilon_{l+1}}{(l+1)^{d/2}} \exp\left(-l\pi \frac{m^{2}}{eE}\right),$$
$$\epsilon_{l} = G\left(\frac{d}{2}; \pi l \frac{m^{2}}{eE}\right) \left[G\left(\frac{d}{2}; \frac{\pi m^{2}}{eE}\right)\right]^{-1}, \qquad (4.25)$$

where  $N^{cr} = V_{\perp}Tn^{cr}$  and  $n^{cr}$  is given by Eq. (4.22). Previously, similar results were obtained for the Sauter field [15] and the *L*-constant fields [16] with the corresponding  $n^{cr}$ , given by Eq. (4.23), and

$$\epsilon_{l} = \epsilon_{l}^{L} = 1 \text{ for L-constant field,}$$
  
 $\epsilon_{l} = \epsilon_{l}^{S} = \delta^{-1} \sqrt{\pi} \Psi\left(\frac{1}{2}, \frac{2-d}{2}; l\pi \frac{m^{2}}{eE}\right) \text{ for Sauter field.}$ 
  
(4.26)

#### V. VERY ASYMMETRIC PEAK

In the examples considered before [15,16] and above, increasing and decreasing parts of the electric field are near symmetric. Here we consider an essentially asymmetric configuration of the step. We suppose that the field grow from zero to its maximum value at the origin x = 0 very rapidly (that is,  $k_1$  is sufficiently large), while the value of parameter  $k_2 > 0$  remains arbitrary and includes the case of a smooth decay. We assume that the corresponding asymptotic potential energy,  $U_{\rm L}$ , given by Eq. (2.9), define finite magnitude of the potential step  $\Delta U_1 = -U_L$  for increasing part of the field. Note that due to the invariance of the mean numbers  $N_n^{\rm cr}$  under the simultaneous change  $k_1 \leftrightarrows k_2$  and  $\pi_0(L) \Leftrightarrow -\pi_0(R)$ , one can easily transform this situation to the case with a large  $k_2$  and arbitrary  $k_1 > 0$ . Let us assume that a sufficiently large  $k_1$  satisfies the following inequalities at given  $\Delta U_1$  and  $\pi_0(L) = p_0 + \Delta U_1$ :

$$|\pi_0(\mathbf{L})|/k_1 \ll 1.$$
 (5.1)

Making use of condition Eq. (5.1), we can approximately present  $|\Delta|^2$ , given by Eq. (2.37), as

$$|\Delta|^{2} \approx |\Delta_{\rm ap}|^{2} = e^{-i\pi\nu_{2}} \left| \left[ -\chi \Delta U_{1} + |p^{\rm L}| - |p^{\rm R}| + k_{2}h_{2} \left( \frac{1}{2} + \frac{d}{d\eta_{2}} \right) \right] \Phi(1 - a_{2}, 2 - c_{2}; -\eta_{2}) \right|^{2} \right|_{x=0}.$$
(5.2)

and finally obtain

$$|g(_{-}|^{+})|^{-2} \approx \begin{cases} |\mathcal{C}\Delta_{ap}|^{-2} & \text{for fermions} \\ |\mathcal{C}_{sc}\Delta_{ap}|_{\chi=0}|^{-2} & \text{for bosons} \end{cases}$$
(5.3)

for the ranges  $\Omega_1$ ,  $\Omega_3$ , and  $\Omega_5$ .

In an asymmetric case with  $k_1 \gg k_2$ , we have  $eE = k_1 \Delta U_1$  at given  $\Delta U_1$  that implies  $eE/k_2 \gg \Delta U_1$ . Then one can disregard the term  $\Delta U_1$  in the leading-termapproximation of  $|g(_-|^+)|^{-2}$  given by Eq. (5.3) for the ranges  $\Omega_1$  and  $\Omega_5$ , that is, outside of the Klein zone. Such an approximation does not depend on the details of the field growth at x < 0. We see that the relative reflection  $|R_{\zeta,n}|^2$ and transition  $|T_{\zeta,n}|^2$  probabilities in the leading-termapproximation are the same with ones produced due to the exponentially decaying electric field, given by the potential (2.10).

Let us consider the most asymmetric case when Eq. (5.3) hold and the parameter  $k_2$  is sufficiently small,

$$h_2 \gg \max(1, m^2/eE).$$
 (5.4)

In this case the exponentially decaying field (2.10) is a small-gradient field and we are interesting in the Klein zone,  $\Omega_3$ , where  $N_n^{cr} = |g(|^+)|^{-2}$ .

Taking into account that both  $\pi_0(L)$  and  $\pi_0(R)$  satisfy the inequalities given by Eq. (2.35) in the range  $\Omega_3$ , we see that  $\pi_0(R)$  varies greatly,

$$\pi_{\perp} \le -\pi_0(\mathbf{R}) \le eE/k_2 + \Delta U_1 - \pi_{\perp}.$$
 (5.5)

Note that in this range  $2\pi_{\perp} < eE/k_2 + \Delta U_1$ . It can be seen from the asymptotic behavior of a confluent hypergeometric function that  $N_n^{cr}$  is exponentially small if both  $|\pi_0(\mathbf{R})|$ and  $\pi_{\perp}$  are large  $\sim eE/k_2$  with  $\pi_{\perp}/|\pi_0(\mathbf{R})| \sim 1$  such that  $|p^{\mathbf{R}}| \ll |\pi_0(\mathbf{R})|$ . Then the range of fixed  $\pi_{\perp}$  is of interest in the range (5.5) and we assume that the inequality (4.15) holds, in which  $K_{\perp}$  is any given number satisfying the condition

$$h_2 \gg K_\perp^2 \gg \max(1, m^2/eE).$$
 (5.6)

Using asymptotic expressions of the confluent hypergeometric functions, we find that the differential mean numbers of created pairs  $N_n^{cr}$ , given by Eq. (5.3), can be approximated by distributions that vary from Eq. (A14) to Eq. (A17); see details in Appendix A. The only distribution (A14) depends on  $\Delta U_1$ . However, the range of transverse momenta is quite tiny for this distribution. It implies that in the leading term approximation the total number  $N^{cr}$  of pairs created does not depend on  $\Delta U_1$  and therefore does not feel peculiarities of the field growth at x < 0 in this approximation.

We see that  $N_n^{cr}$  given by Eq. (A17) are exponentially small if  $|\pi_0(\mathbf{R})| \sim \pi_{\perp}$ . Then the substantial value of  $N_n^{cr}$  are formed in the range

$$K_{\perp} \ll K < -2\pi_0(\mathbf{R})/k_2 < (1-\varepsilon)h_2,$$
 (5.7)

where *K* is any given number and  $K_{\perp}$  satisfies the inequalities (4.15) and (5.6). In this range  $|\pi_0(\mathbf{R})| \gg \pi_{\perp}$  and the distributions (A17) is approximated by Eq. (A15) both for bosons and fermions. Thus, Eq. (A15) gives us the leading-term approximation for the substantial value of  $N_n^{\text{cr}}$  over all the range (5.7). Note that the same distribution takes place in a small-gradient field for  $p_0 > 0$ , see Eq. (4.20). Approximation (A15) does not depend on

the details of the field growth at x < 0, therefore, it is the same as in the case of the exponentially decaying electric field, given by the potential (2.10).

Using the above considerations, we can estimate dominant contributions to the number density  $n^{cr}$  of pairs created by the very asymmetric peak as

$$n^{\rm cr} = \frac{r^{\rm cr}}{k_2} G\left(\frac{d}{2}, \pi \frac{m^2}{eE}\right),\tag{5.8}$$

where  $r^{cr}$  is given by Eq. (4.22); see details in Appendix A. Thus,  $n^{cr}$  given by Eq. (5.8), is  $k_2$ -dependent part of the mean number density of pairs created in the small-gradient field, given by Eq. (4.22).

Finally, we can see that the vacuum-to-vacuum probability is

$$P_v = \exp\left(-\mu N^{\rm cr}\right),\tag{5.9}$$

where  $N^{cr} = V_{\perp}Tn^{cr}$  and  $n^{cr}$  is given by Eq. (5.8) and  $\mu$  is given by Eq. (4.25).

As it was mentioned above, the form of  $N_n^{cr}$  does not depend on the details of the field growth at x < 0 in the range of dominant contribution. Therefore, calculations of total quantities in an exponentially decaying field are quite representative for a large class of the exponentially decaying electric fields switching on abruptly.

## **VI. SHARP PEAK**

The choosing certain parameters of the peak field, one can obtain sharp gradient fields that exist only in a small area in a vicinity of the origin x = 0. The latter fields grows and/or decays rapidly near the point x = 0.

Let us consider large parameters  $k_1, k_2 \rightarrow \infty$  with a fixed ratio  $k_1/k_2$ . We assume that the corresponding asymptotic potential energies,  $U_R$  and  $U_L$ , given by Eq. (2.9), define finite magnitudes of the potential steps  $\Delta U_1$  and  $\Delta U_2$  for increasing and decreasing parts,

$$-U_{\rm L} = \Delta U_1, \qquad U_{\rm R} = \Delta U_2, \tag{6.1}$$

respectively, and satisfy the following inequalities:

$$\Delta U_1/k_1 \ll 1, \qquad \Delta U_2/k_2 \ll 1.$$
 (6.2)

In the ranges  $\Omega_1$  and  $\Omega_5$  the energy  $|p_0|$  is not restricted from the above, that is why in what follows we consider only the subranges, where

$$\max\left(|\pi_0(\mathbf{L})|/k_1, |\pi_0(\mathbf{R})|/k_2\right) \ll 1.$$
(6.3)

In the range  $\Omega_3$  for any given  $\pi_{\perp}$  the absolute values of  $|p^R|$  and  $|p^L|$  are restricted from above, see (4.6). Therefore, condition (6.2) implies Eq. (6.3). This case corresponds to a very sharp peak of the electric field with a given step

magnitude  $U = \Delta U_1 + \Delta U_2$ . At the same time this configuration imitates a sufficiently high rectangular potential step (the Klein step; see Ref. [15] for details and the resolution of the Klein paradox) and coincides with it as  $k_1$ ,  $k_2 \rightarrow \infty$ . Thus, this potential step can be considered as a regularization of the Klein step. We have to compare this regularization with another one presented by the Sauter potential in Ref. [15]. In the case under consideration the confluent hypergeometric function can be approximated by the first two terms in Eq. (2.20), which are  $\Phi(a, c; \eta)$  with  $c \approx 1$  and  $a \approx (1 - \chi)/2$ . Then in the ranges  $\Omega_1$ ,  $\Omega_3$ , and  $\Omega_5$ , the coefficient  $|g(_-|^+)|^{-2}$ , given by Eq. (2.37) for fermions, can be presented in the leading-term approximation as

$$|g(_{-}|^{+})|^{-2} \approx \frac{4|p^{\mathrm{L}}||p^{\mathrm{R}}||\pi_{0}(\mathrm{R}) - |p^{\mathrm{R}}||}{(\mathbb{U} + |p^{\mathrm{R}}| - |p^{\mathrm{L}}|)^{2}|\pi_{0}(\mathrm{L}) + |p^{\mathrm{L}}||}.$$
 (6.4)

This leading-term does not depend on  $k_1$  and  $k_2$ . Note that in the ranges  $\Omega_1$  and  $\Omega_5$ , the coefficient  $|g(_|^+)|^{-2}$  determinate the relative reflection  $|R_{\zeta,n}|^2$  and transition  $|T_{\zeta,n}|^2$  probabilities in the form (3.2) while in the range  $\Omega_3$  it gives the differential mean number of pairs created,  $N_n^{\rm cr} = |g(_-|^+)|^{-2}$ . Of course,  $N_n^{\rm cr} \leq 1$ , while  $|g(_-|^+)|^{-2}$  is unbounded in the ranges  $\Omega_1$  and  $\Omega_5$ . For example,  $|g(_-|^+)|^{-2} \approx \pi_{\perp}^2/\mathbb{U}^2$  if  $\pi_0(\mathbb{R}) \gg \pi_{\perp}^2$ .

For bosons in the ranges  $\Omega_1$ ,  $\Omega_3$ , and  $\Omega_5$ , the leading-term approximation of  $|g(|^+)|^{-2}$ , given by Eq. (2.41), is

$$|g(_{-}|^{+})|^{-2} \approx \frac{4|p^{L}||p^{R}|}{(|p^{L}| - |p^{R}|)^{2} + b^{2}},$$
  

$$b = \frac{2\Delta U_{1}}{k_{1}} \left[\pi_{0}(L) - \frac{\Delta U_{1}}{4}\right]$$
  

$$+ \frac{2\Delta U_{2}}{k_{2}} \left[-\pi_{0}(R) - \frac{\Delta U_{2}}{4}\right].$$
 (6.5)

Taking into account that  $||p^{L}| - |p^{R}|| > U$  in the ranges  $\Omega_{1}$  and  $\Omega_{5}$ , we obtain that

$$|g(_{-}|^{+})|^{-2} \approx \frac{4|p^{L}||p^{R}|}{(|p^{L}| - |p^{R}|)^{2}}.$$
(6.6)

In the range  $\Omega_3$  the difference  $||p^{L}| - |p^{R}||$  are restricted from above by Eq. (4.6) and can tend to zero. That is why the differential mean number of boson pairs created,  $N_n^{cr} = |g(_|^+)|^{-2}$  given by Eq. (6.5), can be large. It has a maximum,  $N_n^{cr} = 4|p^{L}||p^{R}|/b^2 \to \infty$  at  $|p^{L}| - |p^{R}| = 0$ . This is an indication of a big backreaction effect at  $|p^{L}| - |p^{R}| \to 0$ . In contrast with the Fermi case the  $k_1$ ,  $k_2$ -dependent term  $b^2$  in Eq. (6.5) can be neglected only in the range where

$$b^2 \ll (|p^{\rm L}| - |p^{\rm R}|)^2.$$
 (6.7)

Under the latter condition, one obtain

$$N_n^{\rm cr} \approx \frac{4|p^{\rm L}||p^{\rm R}|}{(|p^{\rm L}| - |p^{\rm R}|)^2}.$$
 (6.8)

Thus, we see that the concept of a sharp peak in the scalar QED is limited by the condition  $\min(\Delta U_1/k_1, \Delta U_2/k_2) \gtrsim 1$  for the fields under consideration. We do not see similar problem in the spinor QED.

If  $k_1 = k_2$  (in this case  $\Delta U_2 = \Delta U_1 = \mathbb{U}/2$ ), we can compare the above results with the regularization of the Klein step by the Sauter potential; see Ref. [15]. We see that both regularizations are in agreement for bosons under condition (6.7). Both regularizations are in agreement for fermions in the range  $\Omega_3$  if  $||p^L| - |p^R|| \ll \mathbb{U}$ . For fermions in the ranges  $\Omega_1$  if  $|p^L| \ll \pi_{\perp}$  and  $\Omega_5$  if  $|p^R| \ll \pi_{\perp}$  we have  $||p^L| - |p^R|| \gg \mathbb{U}$  and obtain from Eq. (6.4) that

$$|g(_{-}|^{+})|^{-2} \approx \frac{4|p^{L}||p^{R}||\pi_{0}(R)|}{(|p^{R}| - |p^{L}|)^{2}|\pi_{0}(L)|}.$$
 (6.9)

In the nonrelativistic subrange,  $|\pi_0(R/L)| \gg U$ , the leadingterm in Eq. (6.9) has a form given by Eq. (6.6), that is, it is the same for fermions and bosons and both regularizations are in agreement. To compare our exact results with results of the nonrelativistic consideration for a noncritical rectangular step, U < 2m, (in this case the range  $\Omega_3$  does not exist) obtained in any textbook for one dimensional quantum motion (e.g., see [21]), one set  $p_{\perp} = 0$ , then  $\pi_{\perp} = m$ ,  $\pi_0(L) = p_0 = m + E$ , and  $\pi_0(R) = p_0 - U = m + E - U$ .

# VII. CONCLUDING REMARKS

We have presented new exactly solvable cases available in the nonperurbative QED with x-electric potential steps that were formulated recently in Ref. [15]. In particular, we have considered in details three new configurations of x-electric potential steps: a smooth peak, a strongly asymmetric peak, and a sharp peak. Thus, together, with two recently presented exactly solvable cases available in the QED with the steps (QED with the Sauter field [15] and with a constant electric field between two capacitor plates [16]), the most important physically exactly solvable cases in such QED are described explicitly at present. We note that varying parameters defining these steps it is possible to imitate, at least qualitatively, a wide class of physically actual configurations of x-electric potential steps (constant electromagnetic inhomogeneous fields) and calculate nonperturbatively various quantum vacuum effects in such fields.

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# APPENDIX A: MATHEMATICAL DETAILS OF STUDY IN THE KLEIN ZONE

#### 1. Small-gradient field

Considering negative energies  $p_0 \le 0$ , the total range for  $\pi_0(L)$  given by Eq. (4.14) can be divided in four subranges

(a) 
$$h_1 \ge 2\pi_0(\mathbf{L})/k_1 > h_1[1 - (\sqrt{h_1}g_2)^{-1}],$$
  
(b)  $h_1[1 - (\sqrt{h_1}g_2)^{-1}] \ge 2\pi_0(\mathbf{L})/k_1 > h_1(1 - \varepsilon),$   
(c)  $h_1(1 - \varepsilon) \ge 2\pi_0(\mathbf{L})/k_1 > h_1/g_1,$   
(d)  $h_1/g_1 \ge 2\pi_0(\mathbf{L})/k_1 \ge 2\pi_\perp/k_1,$  (A1)

where  $g_1$ ,  $g_2$ , and  $\varepsilon$  are any given numbers satisfying the conditions  $g_1 \gg 1$ ,  $g_2 \gg 1$ , and  $(\sqrt{h_1}g_2)^{-1} \ll \varepsilon \ll 1$ . Note that  $\tau_1 = ih_1/c_1 \approx h_1k_1/2|\pi_0(L)|$  in the subranges (a), (b), and (c), and  $\tau_2 = -ih_2/(2-c_2) \approx h_2k_2/2|\pi_0(R)|$  in the whole range (4.14).

In these subranges we have for  $\tau_2^{-1}$  that

(a) 
$$1 \le \tau_2^{-1} < 1 + (\sqrt{h_2 g_2})^{-1}$$
,  
(b)  $[1 + (\sqrt{h_2 g_2})^{-1}] < \tau_2^{-1} < 1 + \varepsilon k_2/k_1$ ,  
(c)  $1 + \varepsilon k_2/k_1 < \tau_2^{-1} < 1 + (1 - 1/g_1)k_2/k_1$ ,  
(d)  $1 + (1 - 1/g_1)k_2/k_1 < \tau_2^{-1} \lesssim 1 + k_2/k_1$ . (A2)

We see that  $\tau_1 - 1 \rightarrow 0$  and  $\tau_2 - 1 \rightarrow 0$  in the range (a), while  $|\tau_1 - 1|$  is some finite number in the range (c), and  $|\tau_2 - 1|$  some finite number in the ranges (c) and (d). In the range (b) these quantities vary from their values in the ranges (a) and (c).

We choose  $\chi = 1$  for convenience in the Fermi case. In the range (a) we can use the asymptotic expression of the confluent hypergeometric function given by Eq. (B1) in the Appendix B. Using Eqs. (B6) and (B7), we finally find the leading term as

$$N_n^{\rm cr} = e^{-\pi\lambda} [1 + O(|\mathcal{Z}_1|)],$$
 (A3)

for fermions and bosons, where  $\max |\mathcal{Z}_1| \lesssim g_2^{-1}$ . This expression in the leading order coincide with the one for the case of uniform constant field [6,14],

$$N_n^{\rm uni} = e^{-\pi\lambda}.\tag{A4}$$

In the range (c), the confluent hypergeometric function  $\Phi(1 - a_2, 2 - c_2; -ih_2)$  is approximated by Eq. (B8) and the function  $\Phi(a_1, c_1; ih_1)$  is approximated by Eq. (B9) given in the Appendix B. Then we find that

$$N_n^{\rm cr} = e^{-\pi\lambda} [1 + O(|\mathcal{Z}_1|)^{-1} + O(|\mathcal{Z}_2|)^{-1}], \quad (A5)$$

where max  $|\mathcal{Z}_1|^{-1} \lesssim \sqrt{g_1/h_1}$  and max  $|\mathcal{Z}_2|^{-1} \lesssim g_2^{-1}$ . Using the asymptotic expression Eq. (B1) and taking into account

Eq. (A3) and (A5), we can estimate that  $N_n^{\rm cr} \sim e^{-\pi\lambda}$  in the range (b).

In the range (d), the confluent hypergeometric function  $\Phi(1 - a_2, 2 - c_2; -ih_2)$  is approximated by Eq. (B8) and the function  $\Phi(a_1, c_1; ih_1)$  is approximated by Eq. (B10) given in the Appendix B. In this range the differential mean numbers in the leading-order approximation are

$$N_{n}^{cr} \approx \sinh\left(2\pi |p^{L}|/k_{1}\right) \exp\left\{-\frac{\pi}{k_{1}}[\pi_{0}(L) - |p^{L}|]\right\} \times \begin{cases} \sinh\left\{\pi[\pi_{0}(L) + |p^{L}|]/k_{1}\right\}^{-1} & \text{for fermions} \\ \cosh\left\{\pi[\pi_{0}(L) + |p^{L}|]/k_{1}\right\}^{-1} & \text{for bosons} \end{cases}$$
(A6)

It is clear that  $N_n^{cr}$  given by Eq. (A6) tends to Eq. (A5),  $N_n^{cr} \rightarrow e^{-\pi\lambda}$ , when  $\pi_0(L) \gg \pi_{\perp}$ . Consequently, the forms (A6) are valid in the whole range (A1).

Considering positive  $p_0 > 0$  and using the inequalities (2.35) in the range  $\Omega_3$ , we see that negative  $\pi_0(\mathbf{R})$  varies greatly while  $\pi_0(\mathbf{L})$  is positive and very large,

$$\pi_{\perp} \leq -\pi_0(\mathbf{R}) < \frac{eE}{k_2}, \qquad \frac{eE}{k_1} < \pi_0(\mathbf{L}) \leq \frac{eE}{k_2} + \frac{eE}{k_1} - \pi_{\perp}.$$
(A7)

Taking into account that exact  $N_n^{cr}$  and its range of formation is invariant under the simultaneous exchange  $k_1 \leq k_2$  and  $\pi_0(L) \leq -\pi_0(R)$ , we find for  $p_0 > 0$  that the differential mean numbers in the leading-order approximation are

$$N_{n}^{\rm cr} \approx \sinh\left(2\pi |p^{\rm R}|/k_{2}\right) \exp\left\{\frac{\pi}{k_{2}}[\pi_{0}({\rm R}) + |p^{\rm R}|]\right\} \\ \times \begin{cases} \sinh\left\{\pi[|p^{\rm R}| - \pi_{0}({\rm R})]/k_{2}\right\}^{-1} & \text{for fermions} \\ \cosh\left\{\pi[|p^{\rm R}| - \pi_{0}({\rm R})]/k_{2}\right\}^{-1} & \text{for bosons} \end{cases}$$
(A8)

Let us find the dominant contributions to the number density  $n^{cr}$  given by Eq. (4.21). Using the variable changes,

$$s = \frac{2}{k_1 \lambda} [\pi_0(\mathbf{L}) - |p^{\mathbf{L}}|] \ln I_{\mathbf{p}_{\perp}}^{(1)}, \quad s = \frac{2}{k_2 \lambda} [|\pi_0(\mathbf{R})| - |p^{\mathbf{R}}|] \ln I_{\mathbf{p}_{\perp}}^{(2)},$$

we respectively represent the quantities  $I^{(1)}_{{f p}_\perp}$  and  $I^{(2)}_{{f p}_\perp}$  as

$$I_{\mathbf{p}_{\perp}}^{(1)} \approx \int_{1}^{s_{1}^{\max}} \frac{ds}{s} |p^{\mathrm{L}}| e^{-\pi\lambda s}, \qquad I_{\mathbf{p}_{\perp}}^{(2)} \approx \int_{1}^{s_{2}^{\max}} \frac{ds}{s} |p^{\mathrm{R}}| e^{-\pi\lambda s},$$
(A9)

where

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$$s_j^{\max} = \frac{eE}{k_j^2 K}, j = 1, 2;$$
  $|p^{L/R}| = \frac{eE}{sk_{1/2}} - \frac{1}{4}k_{1/2}\lambda s.$ 

Assuming min  $[(m/k_1)^2, (m/k_2)^2] \gg K$  we see that the leading term in  $I_{\mathbf{p}_{\perp}}$  (4.21) takes the following final form:

$$I_{\mathbf{p}_{\perp}} \approx \left(\frac{eE}{k_1} + \frac{eE}{k_2}\right) \int_1^\infty \frac{ds}{s^2} e^{-\pi\lambda s} = eE\left(\frac{1}{k_1} + \frac{1}{k_2}\right) e^{-\pi\lambda} G(1,\pi\lambda),$$
(A10)

where

$$G(\alpha, x) = \int_1^\infty \frac{ds}{s^{\alpha+1}} e^{-x(s-1)} = e^x x^{\alpha} \Gamma(-\alpha, x), \quad (A11)$$

and  $\Gamma(-\alpha, x)$  is the incomplete gamma function. Neglecting an exponentially small contribution, one can extend the integration limit over  $\mathbf{p}_{\perp}$  in Eq. (4.21) from  $\sqrt{\lambda} < K_{\perp}$  to  $\sqrt{\lambda} < \infty$ . Then calculating the Gaussian integral, we finally obtain the expression (4.22).

#### 2. Very asymmetric peak

The total range (5.5) can be divided into following subranges:

$$\begin{aligned} &(a) (1+\varepsilon)h_2 \leq -2\pi_0(\mathbf{R})/k_2 \leq h_2 \left[ 1 + \frac{2(\Delta U_1 - \pi_\perp)}{k_2 h_2} \right], \\ &(b) h_2 [1 + (\sqrt{h_2} g_2)^{-1}] \leq -2\pi_0(\mathbf{R})/k_2 < (1+\varepsilon)h_2, \\ &(c) h_2 [1 - (\sqrt{h_2} g_2)^{-1}] \leq -2\pi_0(\mathbf{R})/k_2 < h_2 [1 + (\sqrt{h_2} g_2)^{-1}], \\ &(d) (1-\varepsilon)h_2 \leq -2\pi_0(\mathbf{R})/k_2 < h_2 [1 - (\sqrt{h_2} g_2)^{-1}], \\ &(e) h_2/g_1 < -2\pi_0(\mathbf{R})/k_2 < (1-\varepsilon)h_2, \\ &(f) 2\pi_\perp/k_2 \leq -2\pi_0(\mathbf{R})/k_2 < h_2/g_1, \end{aligned}$$
(A12)

where  $g_2$ ,  $g_1$ , and  $\varepsilon$  are any given numbers satisfying the conditions  $g_2 \gg 1$ ,  $g_1 \gg 1$ , and  $\varepsilon \ll 1$ . We assume that  $\varepsilon \sqrt{h_2}g_2 \gg 1$ . There exists the range (a) if  $\sqrt{2}(\Delta U_1 - \pi_{\perp}) \gg \sqrt{eE}/g_2$  and then we assume that  $\varepsilon < 2(\Delta U_1 - \pi_{\perp})/k_2h_2$  while the range (b) exists if  $\sqrt{2}(\Delta U_1 - \pi_{\perp}) > \sqrt{eE}/g_2$ . If  $\sqrt{2}(\Delta U_1 - \pi_{\perp}) < \sqrt{eE}/g_2$  then there exists only the subrange of the range (c) defined by an inequality  $-\pi_0(\mathbf{R}) < eE/k_2 + \Delta U_1 - \pi_{\perp}$ . Note that  $\tau_2 = -ih_2/(2 - c_2) \approx h_2k_2/2|\pi_0(\mathbf{R})|$  in subranges from (a) to (e) and  $\tau_2$  varies from  $1 - O(h_2^{-1})$  to  $g_1$ .

In the range (a) if it exists, the confluent hypergeometric function  $\Phi(1 - a_2, 2 - c_2; -\eta_2)$  is approximated by Eq. (B8) given in Appendix B. In this range the differential mean numbers in the leading-order approximation are very small,

$$N_n^{\rm cr} \approx \frac{2}{(h_2)^2} \left[ 1 + O(|\mathcal{Z}_2|^{-1}) \right] \times \begin{cases} \frac{|p^{\rm L}|}{\pi_0({\rm L}) + |p^{\rm L}|} & \text{for fermions} \\ \frac{4|p^{\rm L}|}{k_2} & \text{for bosons} \end{cases},$$
(A13)

where max  $|\mathcal{Z}_2|^{-1} \sim (\varepsilon \sqrt{h_2})^{-1}$ .

In the range (c),  $\tau_2 - 1 \rightarrow 0$  and, using Eqs. (B2), (B3), and (B4) from Appendix B we find that

$$N_n^{\rm cr} \approx |p^{\rm L}| \exp\left[-\frac{\pi\lambda}{4}\right] [1 + O(|\mathcal{Z}_2|^{-1})] \\ \times \begin{cases} \frac{2}{||p^{\rm L}| + \pi_0({\rm L})| \cosh\left(\pi\lambda/4\right)} & \text{for fermions} \\ \frac{|\Gamma(\frac{1}{4} + \frac{i\lambda}{4})|^2}{\sqrt{eE\pi}} & \text{for bosons} \end{cases}.$$
(A14)

Note that  $N_n^{\rm cr}$  given by Eq. (A14) are finite and restricted,  $N_n^{\rm cr} \leq 1$  for fermions and  $N_n^{\rm cr} \leq 1/g_2$  for bosons. This form depends on  $\Delta U_1$ . The range of transverse momenta is quite tiny here. In the range (b) if it exists the distributions  $N_n^{\rm cr}$ vary between their values in the ranges (a) and (c).

In the range (e) parameters  $\eta_2$  and  $c_2$  are large with  $a_2$  fixed and  $\tau_2 > 1$  with arg  $(2 - c_2) < 0$ . In this case, using the asymptotic expression of the confluent hypergeometric function given by Eq. (B9) in Appendix B, we find that

$$N_n^{\rm cr} = \exp\left\{\frac{2\pi}{k_2}[|p^{\rm R}| + \pi_0({\rm R})]\right\} [1 + O(|\mathcal{Z}_2|^{-1})].$$
(A15)

both for fermions and bosons, where  $Z_2$  is given by Eq. (B2). We note that modulus  $|Z_2|^{-1}$  varies from  $|Z_2|^{-1} \sim (\varepsilon \sqrt{h_2})^{-1}$  to  $|Z_2|^{-1} \sim [(g_1 - 1)\sqrt{h_2}]^{-1}$ . Approximately, expression (A15) can be written as

$$N_n^{\rm cr} \approx \exp\left(-\frac{\pi \pi_\perp^2}{k_2 |\pi_0(\mathbf{R})|}\right). \tag{A16}$$

Note that  $eE/g_1 < k_2|\pi_0(\mathbf{R})| < (1 - \varepsilon)eE$  in the range (e). It is clear that the distribution  $N_n^{cr}$  given by Eq. (A16) has the following limiting form:

$$N_n^{\rm cr} \to e^{-\pi\lambda}$$
 as  $k_2|\pi_0(\mathbf{R})| \to (1-\varepsilon)eE$ .

Thus, we see that the result in a constant uniform electric field, given by Eq. (A4) is reproduced in the wide range of high energies,  $|\pi_0(\mathbf{R})| \sim eE/k_2$ . In the range (d), the distributions  $N_n^{cr}$  vary from their values in the ranges (c) and (e) for fermions and bosons.

In the range (f), we can use the asymptotic expression of the confluent hypergeometric function for large  $h_2$  with fixed  $a_2$  and  $c_2$  given by Eq. (B10) in Appendix B to show that the number of particles created is PARTICLE SCATTERING AND VACUUM INSTABILITY BY ...

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$$N_n^{\rm cr} \approx \sinh\left(2\pi |p^{\rm R}|/k_2\right) \exp\left\{\frac{\pi}{k_2} [\pi_0({\rm R}) + |p^{\rm R}|]\right\} \begin{cases} \sinh\left\{\pi [|p^{\rm R}| - \pi_0({\rm R})]/k_2\right\}^{-1} & \text{for fermions} \\ \cosh\left\{\pi [|p^{\rm R}| - \pi_0({\rm R})]/k_2\right\}^{-1} & \text{for bosons} \end{cases}.$$
 (A17)

The same distribution takes place in a small-gradient field for  $p_0 > 0$ , see Eq. (A8).

Let us find the dominant contributions to the total number  $N^{cr}$  of pairs created. To this end, we represent the leading terms of integral (4.8) as a sum of two contributions, one due to the ranges (e) and (f) and another one due to the ranges (a), (b), (c), and (d):

$$N^{\rm cr} = V_{(d-1)} n^{\rm cr}, \qquad n^{\rm cr} = \frac{V_{\perp} T J_{(d)}}{(2\pi)^{d-1}} \int_{\sqrt{\lambda} < K_{\perp}} d\mathbf{p}_{\perp} I_{\mathbf{p}_{\perp}}, \qquad I_{\mathbf{p}_{\perp}} = I_{\mathbf{p}_{\perp}}^{(1)} + I_{\mathbf{p}_{\perp}}^{(2)},$$

$$I_{\mathbf{p}_{\perp}}^{(1)} = \int_{\pi_0(\mathbf{R})\in(\mathbf{a})\cup(\mathbf{b})\cup(\mathbf{c})\cup(\mathbf{d})} d\pi_0(\mathbf{R}) N_n^{\rm cr}, \qquad I_{\mathbf{p}_{\perp}}^{(2)} = \int_{\pi_0(\mathbf{R})\in(\mathbf{e})\cup(\mathbf{f})} d\pi_0(\mathbf{R}) N_n^{\rm cr}.$$
(A18)

The main contribution to the integral (A18) is due to the wide range (e) $\cup$ (f) of a large kinetic energy  $|\pi_0(\mathbf{R})|$  with a relatively small transverse momentum  $|\mathbf{p}_{\perp}|$ . The contribution to this quantity from the relatively narrow momentum ranges (a), (b), (c), and (d) is finite and the corresponding integral  $I_{\mathbf{p}_{\perp}}^{(1)}$  is of the order  $\sqrt{eE}/g_2$ . The integral  $I_{\mathbf{p}_{\perp}}^{(2)}$  can be taken from Eq. (4.21) and approximated by the form from Eq. (A9). Thus, the dominant contribution is given by integral (A10) at  $k_1 \rightarrow \infty$ . Then calculating the Gaussian integral, we find the form (5.8).

## **APPENDIX B: SOME ASYMPTOTIC EXPANSIONS**

The asymptotic expression of the confluent hypergeometric function for large  $\eta$  and c with fixed a and  $\tau = \eta/c \sim 1$  is given by Eq. (13.8.4) in [22] as

$$\begin{split} \Phi(a,c;\eta) &\simeq c^{a/2} e^{\mathcal{Z}^2/4} F(a,c;\tau), \qquad \mathcal{Z} = -(\tau-1) \mathcal{W}(\tau) \sqrt{c}, \\ F(a,c;\tau) &= \tau \mathcal{W}^{1-a} D_{-a}(\mathcal{Z}) + \mathcal{R} D_{1-a}(\mathcal{Z}), \\ \mathcal{R} &= (\mathcal{W}^a - \tau \mathcal{W}^{1-a})/\mathcal{Z}, \qquad \mathcal{W}(\tau) = [2(\tau-1-\ln\tau)/(\tau-1)^2]^{1/2} \end{split}$$
(B1)

where  $D_{-a}(\mathcal{Z})$  is the Weber parabolic cylinder function (WPCF) [18]. Using Eq. (B1) we present the functions  $y_2^2$ ,  $y_1^1$  and their derivatives at x = 0 as

$$\begin{split} y_{1}^{1}|_{x=0} &\simeq e^{-ih_{1}/2}(ih_{1})^{\nu_{1}}c_{1}^{a_{1}/2}e^{\mathcal{Z}_{1}^{2}/4}F(a_{1},c_{1};\tau_{1}),\\ \mathcal{Z}_{1} &= -(\tau_{1}-1)\mathcal{W}(\tau_{1})\sqrt{c_{1}}, \qquad \tau_{1} = ih_{1}/c_{1},\\ \frac{dy_{1}^{1}}{d\eta_{1}}\Big|_{x=0} &\simeq e^{-ih_{1}/2}(ih_{1})^{\nu_{1}}c_{1}^{a_{1}/2}e^{\mathcal{Z}_{1}^{2}/4}\left[-\frac{1}{2ih_{1}}+\frac{1}{c_{1}}\frac{\partial}{\partial\tau_{1}}\right]F(a_{1},c_{1};\tau_{1});\\ y_{2}^{2}|_{x=0} &\simeq e^{ih_{2}/2}(ih_{2})^{-\nu_{2}}(2-c_{2})^{(1-a_{2})/2}e^{\mathcal{Z}_{2}^{2}/4}F(1-a_{2},2-c_{2};\tau_{2}),\\ \mathcal{Z}_{2} &= -(\tau_{2}-1)\mathcal{W}(\tau_{2})\sqrt{2-c_{2}}, \qquad \tau_{2} = -ih_{2}/(2-c_{2}),\\ \frac{dy_{2}^{2}}{d\eta_{2}}\Big|_{x=0} &\simeq e^{ih_{2}/2}(ih_{2})^{-\nu_{2}}(2-c_{2})^{(1-a_{2})/2}e^{\mathcal{Z}_{2}^{2}/4}\left[-\frac{1}{2ih_{2}}-\frac{1}{2-c_{2}}\frac{\partial}{\partial\tau_{2}}\right]F(1-a_{2},2-c_{2};\tau_{2}). \end{split}$$
(B2)

Assuming  $\tau - 1 \rightarrow 0$ , one has

$$\mathcal{W}^{1-a} \approx 1 + \frac{a-1}{3}(\tau - 1), \qquad \mathcal{R} \approx \frac{2(a+1)}{3\sqrt{c}}, \qquad \mathcal{Z} \approx -(\tau - 1)\sqrt{c},$$
$$\frac{\partial F(a,c;\tau)}{\partial \tau} \approx \frac{2+a}{3}D_{-a}(\mathcal{Z}) + \frac{\partial D_{-a}(\mathcal{Z})}{\partial \tau} + \mathcal{R}\frac{\partial D_{1-a}(\mathcal{Z})}{\partial \tau}.$$

Expanding WPCFs near  $\mathcal{Z} = 0$ , in the leading approximation at  $\mathcal{Z} \to 0$  one obtains that

$$\begin{split} &\frac{\partial F(a,c;\tau)}{\partial \tau} \approx -\sqrt{\eta} D'_{-a}(0) + O(\eta), \\ &F(a,c;\tau) \approx D_{-a}(0) + O(c^{-1/2}), \end{split} \tag{B3}$$

and

$$D_{-a}(0) = \frac{2^{-a/2}\sqrt{\pi}}{\Gamma(\frac{a+1}{2})}, \qquad D'_{-a}(0) = \frac{2^{(1-a)/2}\sqrt{\pi}}{\Gamma(\frac{a}{2})}, \tag{B4}$$

where  $\Gamma(z)$  is the Euler gamma function. We find under condition (4.13) that

$$|p^{L/R}| \approx |\pi_0(L/R)|(1 - \lambda/h_{1/2}), \qquad a_1 \approx a_2 \approx (1 - \chi)/2 - i\lambda/2,$$

$$c_1 \approx 1 + i \left(\frac{2\pi_0(L)}{k_1} - \lambda\right), \qquad 2 - c_2 \approx 1 - i \left(\frac{2|\pi_0(R)|}{k_2} - \lambda\right),$$

$$\tau_1 - 1 \approx -\frac{1}{h_1} \left(-i - \lambda + \frac{2p_0}{k_1}\right), \qquad \tau_2 - 1 \approx \frac{1}{h_2} \left(-i + \lambda + \frac{2p_0}{k_2}\right).$$
(B5)

Using Eqs. (B2), (B3), and (B5) we represent Eq. (4.3) in the form

$$N_{n}^{\rm cr} = e^{-\pi\lambda/2} [|\delta_{0}|^{-2} + O(h_{1}^{-1/2}) + O(h_{2}^{-1/2})],$$
  

$$\delta_{0} = e^{i\pi/4} D_{-a_{1}}(0) D'_{a_{2}-1}(0) - e^{-i\pi/4} D'_{-a_{1}}(0) D_{a_{2}-1}(0).$$
(B6)

Assuming  $\chi = 1$  for fermions and  $\chi = 0$  for bosons, and using the relations of the Euler gamma function we find that

$$\delta_0 = \exp\left(i\frac{3\pi}{4} - i\frac{\pi\chi}{2}\right)e^{\pi\lambda/4}.$$
(B7)

Assuming  $|\tau - 1| \sim 1$ , one can use the asymptotic expansions of WPCFs in Eq. (B1), e.g., see [18,22]. Note that  $\arg(\mathcal{Z}) \approx \frac{1}{2}\arg(c)$  if  $1 - \tau > 0$ . Then one finds that

$$\Phi(a,c;\eta) = (1-\tau)^{-a} [1+O(|\mathcal{Z}|^{-1})] \quad \text{if } 1-\tau > 0.$$
(B8)

In the case of  $1 - \tau < 0$ , one has

$$\arg(\mathcal{Z}) \approx \begin{cases} \frac{1}{2}\arg(c) + \pi & \text{if } \arg(c) < 0\\ \frac{1}{2}\arg(c) - \pi & \text{if } \arg(c) > 0 \end{cases}$$

Then one obtains finally that

$$\Phi(a,c;\eta) = \begin{cases} (\tau-1)^{-a} e^{-i\pi a} [1+O(|\mathcal{Z}|^{-1})] & \text{if } \arg(c) < 0\\ (\tau-1)^{-a} e^{i\pi a} [1+O(|\mathcal{Z}|^{-1})] & \text{if } \arg(c) > 0 \end{cases}$$
(B9)

The asymptotic expression of the confluent hypergeometric function  $\Phi(a, c; \pm ih)$  for large real *h* with fixed *a* and *c* is given by Eq. (6.13.1(2)) in [18] as

$$\Phi(a,c;\pm ih) = \frac{\Gamma(c)}{\Gamma(c-a)} e^{\pm i\pi a/2} h^{-a} + \frac{\Gamma(c)}{\Gamma(a)} e^{\pm ih} (e^{\pm i\pi/2}h)^{a-c} + O(|h|^{-a-1}) + O(|h|^{a-c-1}).$$
(B10)

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